道口證券
DAOKOU SECURITIES

香港灣仔港灣道23號鷹君中心14樓1406
Suite 1406, 14/F Great Eagle Centre,
23 Harbour Road, Wan Chai, Hong Kong
電話 Tel: (852) 2208 7820 傳真 Fax: (852) 2521 7700

道口證券有限公司（即「本公司」）獲香港證券及期貨事務監察委員會發牌（中央編號為ABS418）按證券及期貨條例經營第一類（證券交易）受規管活動。此外，本公司為香港聯合交易所有限公司參與者，亦為香港中央結算有限公司參與者。

Daokou Securities Limited (the "Company") has been licensed by the Securities and Futures Commission in Hong Kong (with CE no. ABS418) to carry on Type 1 (dealing in securities) regulated activities under the Securities and Futures Ordinance. In addition, the Company is an Exchange Participant of The Stock Exchange of Hong Kong Limited, as well as a Clearing House Participant of Hong Kong Securities Clearing Company Limited.

備註：此乃重要文件。閣下（即「客戶」）應仔細閱讀並充份理解本協議之內容包括開戶申請表與條款及條件（連同所有附表）有關於本公司開立及持有一個 或多個之交易帳戶（即「帳戶」）。客戶在簽署本開戶申請表前，如有所需，應先尋求獨立法律或其他專業意見。倘若本開戶申請表的中英文版本有任何歧異，一概以英文版本為準。

Note: This is an important document. You (the "Client") should carefully read and fully understand the contents of the Agreement comprised of this Account Opening Application and the Terms and Conditions (with all Schedules thereto) for one or more trading accounts (the "Account(s)" to be opened and maintained with the Company. Before signing the Account Opening Application, the Client should firstly obtain independent legal or other professional advice as the Client may deem necessary. In case of any discrepancy between the English and Chinese versions of this Account Opening Application, the English version shall prevail.

請附上以下經簽署作實之公司文件副本：	**A Certified true copy of the following corporate documents to be provided herewith:**
☐ 客戶的公司註冊證書的核實副本(或商業登記證書或其他顯示妥當註冊的任何證據)、組織章程大綱及章程細則(或其他憲章性文件)。	☐ Certified true copy of the Certificate of Incorporation (or Business Registration Certificate or other evidence of due incorporation), Memorandum and Articles of Association (or other constitutional documents) of Client.
☐ 客戶過去兩年的經審計賬目之核實副本及不超過本開戶表日期前兩個月的資產負債表或其他本公司不時同意的財務資料。	☐ Certified true copies of the audited accounts of the Client for the last two years and a balance sheet at a date not more than two months before the date of this Form, or such other financial information as Daokou Securities Ltd may from time to time agree.
☐ 公司組織章程及細則	☐ Memorandum and Articles of Association
☐ 董事擔保人財政狀況證明 例如：銀行存摺	☐ Letter of Guarantee attached with the Guarantor's financial support (eg. Bank account passbook)
☐ 所有獲授權代理人、董事及本賬戶最終實質權益的人士之香港身份證或護照副本。	☐ Copies of the Hong Kong ID Card(s) or Passport(s) of all Authorised Persons, Directors and Ultimate Beneficial owner(s).
☐ 通過在道口證券有限公司開設戶口的董事會決議案	☐ Board Resolution to open an account with Daokou Securities Limited
☐ 客戶的董事名冊核實副本。	☐ Certified true copy of the Register of Directors of the Client.
☐ 客戶的股東名冊核實副本。	☐ Certified true copy of the Register of Members of the Client.

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附表SCHEDULE 3

開戶申請表 ACCOUNT OPENING APPLICATION

(公司帳戶 CORPORATE ACCOUNT)

申請開戶日期: Application Date:		客戶主任編號 AE code:	

客户种类 **Account Type**	☐ 现金帐户 Cash Account	帐户号码: Account No.:
	☐ 保证金帐户 Margin Account	帐户号码: Account No.:

帐户类型 **Account Nature**	☐ 公司账户 Corporate Account	**落盘方式** **Method of Placing Order**	☐ 电话 By Telephone (Manual) ☐ 网上 By Internet

证券市场交易种类 **Selection of trading securities**	☐ 香港及美国证券 H.K & U.S. Stocks ☐ 中国A股 A Shares-Mainland ☐ 基金 / 债券 China Fund / Bond ☐ 其他 Others

1. CLIENT INFORMATION 客戶資料

公司資料 Company Details

公司名稱（客戶名稱） Company Name (Customer Name)	中文:	English:
公司性質（例如私人有限公司等） Nature of Entity (e.g. Private limited company, etc.)		
商業性質 Nature of Business	業務範圍 Scope of Business	
註冊成立國家 Country of Incorporation	註冊日期 Date of Incorporation	
註冊證書號碼 Certificate of Incorporation No.:	商業登記號碼 Business Registration No.:	
註冊地址 Registered address		
主要辦事處地址 Principal business address		
辦公室電話 Office Tel No.	傳真號碼 Fax No	電郵地址 E-mail address
主要聯絡人姓名 Contact Person Name 先生 / 女士 Mr / Ms	聯絡人電話號碼 Contact Person Tel No.	聯絡人電郵地址 Contact Person E-mail

Method of Communication 通訊方法

請選擇以下其中一項通訊方法Please select one of the following method of communication：

□ *郵遞 By Mail : □ 業務地址 Business Address

* 須繳交行政費: 每年港幣一百元 □ 其他 Others ：_____

* Administrative Fee : HKD100 per annum (請留意結單將以郵遞方式寄往客戶 Please note that the statement will be sent to Client by post)

□ By E-mail 電子郵件

無論客戶選擇以郵遞或電子郵件作爲通訊方法，道口證券有限公司有最終決定權使用任何其認爲適當的通訊方式與客戶進行通訊。

Please be noted that Daokou Securities Limited reserve the rights, at their sole discretion to change or modify the method of communication to the Client that Daokou Securities Limited deemed appropriate at any time.

擁有 / 控制該公司25%或以上的股票及/或股本之客戶 All Directors/Major Shareholders (Shareholding of 25% or more)

姓名 Names	*董事/股東* Director / Shareholder	國籍/公民身份 Nationality / Citizenship	*護照/身份證號碼/簽發地方* Passport / ID No. / Pl. of Issue	*住址* Residential Address
Mr / Ms 先生 / 女士				
Mr / Ms 先生 / 女士				
Mr / Ms 先生 / 女士				

法定股本 Authorised Share Capital	*每股面值* Value of Each Share	*已發行股本* Issue Share Capital	*每股面值* Value of Each Share
最終控股公司 / *最終個人股東* Ultimate holding company / Ultimate individual holder of shares		*註冊地址* Registered Address	
公司的最大股東 Substantial Shareholder		*註冊地址* Registered Address	
最終權益擁有人 Name of Ultimate beneficial owner(s)			
居住地址 Residential Address			
身份證或護照號碼 ID Card No. / Passport No.		*國籍/公民身份* Nationality / Citizenship	*電話號碼* Tel No.

代表公司給予指示的獲授權人士資料
Information of the person(s) authorised to give an instructions on company's behalf:

姓名 Names	職位/電話/手提電話號碼 Position / Phone / Mobile no.	身份證號碼/護照/簽發地方 ID Card./Passport no./Place of Issue	*國籍/公民身份* Nationality / Citizenship	簽名 Signature
Mr / Ms *先生 / 女士*				
Mr / Ms *先生 / 女士*				
Mr / Ms *先生 / 女士*				

公司印章式樣
Specimen Impression of Company's Chop:

就上述獲授權 簽署人而言，本公司有權假定任何上述有關人士具有全面及不受限制之權力及授權但受列明於第二部之權限所約束，可代閣下執行上文所列作為，並無任何責任核實有關人士的指示或身份是否真確。閣下同意上述人士代閣下所發出的指示約束。

With respect to the above named Authorised Signatories, the Company shall be entitled to assume that any of them has full and unrestricted powers and authority subject to the Account Mandate set out in Section 2 below to perform the acts listed above on behalf of you and shall not be under any duty to verify the authenticity of the instructions or identity of such person(s). You agree to be bound by instructions given on behalf of you by the above named Authorised Signatories.

2. 帳戶授權書 ACCOUNT MANDATE

閣下之獲授權人已被授權可按照下列權限發出指示予本公司以運作閣下之帳戶（*請刪去不適用者）：
The Authorised Signatories of the Client have been authorised to issue instructions to the Company subject to the following mandate for operation of the Account(s) (*Delete where not applicable) : -

權限 Extent of Authority	**指示種類 Type of Instructions**	
（請選擇以下一項） (Please choose one below)	買賣指示 Trading Instructions	其他指示（如：提取現金及/或轉賬） Other Instructions (e.g. fund withdrawal and/or transfer)
列於第一部份之任何一位獲授權人單獨發出 Issued singly by any one of the Authorised Signatories named in Section 1 above	是 Yes / 否 No *	是 Yes / 否 No *
列於第一部份之任何 _____位獲授權人聯合發出 Issued jointly by any _____of the Authorised Signatories named in Section 1 above	不適用 N/A	是 Yes / 否 No *
其他（請說明）： Others (please specify):		

3. 銀行資料 Bank Reference

此戶口將用作交收銀行戶口，而本公司發出之支票將依據以下之戶口名稱。
This account will be used as the settlement bank account and the cheque issued by the Company will be accorded to the account name below.

銀行帳戶紀錄（只供存款用途）BANK ACCOUNT RECORD (For fund deposit only)
除客戶另行指示外，客戶的款項將會被轉入下列銀行帳戶：
Unless otherwise to be instructed by you, all monies payable to you are to be credited to the following bank account:

(須與客戶於此表格上的名稱相符)

銀行名稱 _____ 帳戶持有人名稱 _____
Bank Name: Account Holder's Name: (Name on this form should match)

分行 _____ 帳戶號碼 _____ 貨幣 _____
Branches: Account Number: Currency:

帳戶類別 Account Type(s): ☐ 儲蓄 Saving ☐ 往來 Current ☐ 其他 Others _____

4. 財政狀況Financial Profile

每年稅前純利（港幣） Annual Net Profit Before Tax (HKD)	☐ < HKD120,000 (I1) ☐ HKD600,001 - HKD1,200,000 (I4)	☐ HKD120,001 - HKD360,000 (I2) ☐ > HKD1,200,000 (I5)	☐ HKD360,001 - HKD600,000 (I3)
資產淨值（港幣） Net Assets (HKD)	☐ < HKD 500,000 ☐ HKD10,000,000 – HKD20,000,000	☐ HKD 500,000 – HKD3,000,000 ☐ > HKD 20,000,001	☐ HKD3,000,000 – HKD10,000,000
財富來源: Source of Wealth:	☐營業收入Business Income ☐ 股息/利息 Dividend/Interest ☐租金收入 Rental Income	☐ 投資回報 Sale of Investments ☐ 出售物業/資產 Sale of Property/Assets ☐ 其他 Others: _____	
資金來源: Source of Funds:	☐營業收入Business Income ☐ 股息/利息 Dividend/Interest ☐ 租金收入 Rental Income	☐投資回報 Sale of Investments ☐出售物業/資產 Sale of Property/Assets ☐ 其他 Others: _____	

5. 投資經驗及衍生產品認識 Investment Experience & Derivative Products Knowledge

投资目标 Investment Objective: ☐ **股息收入 Dividend Income** ☐ **资本增值 Capital Appreciation** ☐ **对冲 Hedging** ☐ **投机 Speculation** ☐ **其他 Others** _____

投资经验 (可选多于一项) Investment Experience (Can choose more than one)

產品Product	經驗 (以年計算) Experience (in Terms of Year)					
	Nil 無	< 1	1 - 3	3 – 5	5 – 10	> 10
股票Stock						
結構性及衍生產品 Structured and derivative product						
債券 / 基金 Bond / Fund						
其他 Others_____						

预计在本户口的交易量 Anticipated Level of Trading Activity in the Account

每月交易金額 (港币)Transaction amount per month (HKD)	☐**<$500,000** ☐**>$500,000**
每月交易合约次数 Number of Transaction per month	☐**<20** ☐ **20 - 40** ☐**>40**
投资产品 Investments Products	☐ 股票Stocks ☐ 衍生产品结构性及衍生产品Derivative products ☐ 单位信托基金或债券Mutual funds or Bonds
投資組合按年所承受波幅 Annual volatility of investment portfolio	☐ 低 Conservative ☐ 中 Moderate ☐ 高 Aggressive
客戶對衍生產品的認識 Client's Knowledge of Derivative Products	*客戶知悉及明白道口證券有限公司將根據以下的資料以評估客戶是否對衍生工具產品有認識。* The Client acknowledges and understands Daokou Securities Ltd will assess whether the Client has adequate knowledge on derivative products according to the information the Client provided.
	☐ *客戶於過去3年曾執行5次或以上有關衍生產品的交易，例如：衍生權證、牛熊證、股票、期權、期貨及期權、商品、結構性產品及交易所買賣基金等。* ☐ The Client has executed five or more transactions within the past three years in derivative products, e.g. Derivative Warrants, Callable Bull/Bear Contracts, Stock Options, Futures and Options, Commodities, Structured Products, and Exchange Traded Funds, etc.

投資者分類聲明（基於對衍生工具的知識）

本人特此聲明並承認基於本人對衍生產品的知識，本人同意於證監會所要求的投資者分類下被視為類別 _____及下列詳細說明。本人進一步聲明及承認本人了解該分類的風險和後果，而持牌法團如道口證券有限公司將無責任向在此被分類為類別一及類別二的客戶解釋衍生產品的性質和風險。

☐ **類別一：擁有對衍生產品的知識**
- 投資者於過去3年內進行5宗或以上任何衍生產品的交易。
- 中介人無須向該客戶解釋衍生產品的風險。

☐ **類別二：擁有對衍生產品的一般知識**
- 一般知識可以幫助投資者了解衍生產品的性質和風險。
- 中介人無須向該客戶解釋衍生產品的風險。

☐ **類別三：對衍生產品沒有知識**
- 於交易所交易的衍生產品：中介人即使僅只於執行交易亦應解釋衍生產品的性質和風險。
- 非交易所交易的衍生產品：中介人即使僅只於執行交易亦應**提醒**該客戶及向客戶就**合適性**提供的建議在所有情況下都是合理的，並將提醒及其他通訊記錄妥善保存。凡交易被評估為不適合，中介人應只在維護客戶**最佳利益**的行事方式的前*提下執行交易*。

☐ **客戶不會買任何衍生產品。**

Declaration-Investor Characterization (Based on Knowledge in Derivatives)

I hereby declare and acknowledge that on the basis of my knowledge on derivative products，I consent to be treated as Category _____ under Investor Characterization as required by the Securities and Futures Commission and set out in details below.

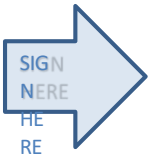
I further declare and acknowledge that I understand the risks and consequences of such Characterization ，and that licensed corporations such as Daokou Securities Limited will not be obliged to explain the nature and risks of derivative products to clients classified as Category 1 and Category 2 herein.

☐ **Category 1 - have knowledge of derivatives**
- Investor has executed 5 or more transactions in any derivative product within the past 3 years.
- Intermediary is not required to explain the risks of derivative products to such clients.

☐ **Category 2 - have general knowledge of derivatives**
- General knowledge could assist an investor to understand the nature and risks of derivative products.
- Intermediary is not required to explain the risks of derivative products to such client.

☐ **Category 3 - have no knowledge of derivatives**
- Derivative products traded on an exchange：Intermediary should explain the nature and risks even for execution-only transactions.
- Derivative products not traded on an exchange：Intermediary should **warn** such client and provide appropriate advice on **suitability** for the client in all circumstances even for execution-only transactions,with record of the warning and other communications duly kept. Where the transaction is assessed as unsuitable, Intermediary should only proceed if acting in the **best interests of the client**.

☐ **Client DOES NOT WISH to trade any derivative products.**



客戶簽署 Signature of Client	持牌代表簽署 Signature of licensed representative
姓名： Name： 日期： Date：	姓名： Name： 證監會編號及日期： SFC CE No. & Date：

6. 客戶聲明（Declaration by Customer)

公司是否相關帳戶的最終實益擁有人？（即你是否為公司本身而非為第三者運作此帳戶？）
Is Company the ultimate beneficial owner(s) in relation to the Account? (i.e. Are you acting for your own account and not for a third party?)
☐ 是 Yes

☐ 否，最終實益擁有人是No, details of the ultimate beneficial owner(s) is/are:

姓名 Name:		護照/身份證號碼/ Passport/ID No./	
電話號碼 Tel No:		簽發地址: Place of issue:	
地址 Address:			

貴公司是否有任何董事或股東是：（i）交易所參與者或就第1類受規管活動（證券買賣）獲發牌的中介人（持牌法團）的僱員或代理人；或（ii）就第1類受規管活動獲註冊的中介人（註冊機構）的僱員或代理人，並直接參與該受規管活動？
Is any of the Company's directors or shareholders an employee or agent of (i)an exchange participant or an intermediary (licensed corporation) licensed for Type 1 Regulated Activity (Dealing in Securities); or (ii) an intermediary(registered institution) registered for Type 1 Regulated Activity and directly involved in the Regulated Activity?

☐ 是，有關交易所參與者或中介人的名稱是：
Yes, the name of the related exchange participant or intermediary is: _____

☐ 否 No

公司是否有董事或股東是道口證券有限公司或其聯營公司的職員/代理人？
Is any of the company's director or shareholders an employee or agent of Daokou Securities Limited or its associated companies?
□ 是，職員名稱：
Yes, Name of Employee: _____

□ 否 No

客戶的公司集團內任何成員是否道口證券有限公司或其聯營公司客戶？
Is any member of your group of companies a client of Daokou Securities Limited?

□ 是，請於下方注明 Yes, please specify below

□ 否 No

帳戶號碼 Account No.		帳戶名稱 Name	
帳戶號碼 Account No.		帳戶名稱 Name	
帳戶號碼 Account No.		帳戶名稱 Name	

閣下之任何董事、主要股東、最終實益擁有人、最終主要實益擁有人或授權人是否被委任為重要公職（例如：國家或政府元首、重要政客、高級政府官員、高級司法人員、高級軍官、國家企業高級行政人員、宗教領袖或以上人士的家人或有聯繫人士）？ Is any director, substantial shareholder, ultimate beneficiary, ultimate principal beneficial owner or authorised person of the Company entrusted with prominent public functions (e.g. Head of State or government, senior politicians/ important political officials, senior government officials, senior judicial officials, senior military officials, senior executives of state owned enterprises, religious leaders, or family members or close associates of the above-mentioned parties)?	
閣下戶之股東、董事、合夥人、獲授權人或賬戶最終權益擁有人是否任何上市公司的董事、高級人員或大股東（［有關人士］）或與有關人士有任何關連? Is the Client a director, senior officer or substantial shareholders of any listed company or have relationship with the relevant persons?	☐否 No ☐是YES

關聯客戶（僅適用於保證金帳戶）Related Client (For Margin Account Only)

1. 貴公司是否控制另一道口證券有限公司保證金公司客戶35%或以上的投票權？
   Is your company in control of 35% or more of the voting rights of another company which is a margin client of Daokou Securities Limited?

□ 是，請注明 Yes, please specify _____

□ 否 No

2. 另一道口證券有限公司的保證金客戶或保證金公司客戶是否控制貴公司35%或以上的投票權（如該客戶為個人，則包括其配偶的投票權）？
   Does another margin client or corporate margin client of Daokou Securities Limited control 35% or more of the voting rights of your company (if that margin client is an individual, includes the voting rights of his/her spouse)?

□ 是，請注明 Yes, please specify _____

□ 否 No

3. 貴公司與另一道口證券有限公司保證金客戶是否均屬同一公司集團的成員？
   Are your company and another corporate margin client of Daokou Securities Limited members of the same group?

□ 是，請注明 Yes, please specify _____

□ 否 No

4. 請問控制貴公司35%或以上表決權的人士(無論是單獨或與其配偶共同持有)是否同時亦控制其他道口證券有限公司的保證金公司客戶35%或以上的表決權?
   Is the person (alone or together with his/her spouse) who controls 35% or more of voting rights of your company or entity, in control of 35% or more of the voting rights of any other corporate margin client of DaoKou Securities Limited?

□ 是，請注明 Yes, please specify _____

□ 否 No

5. 貴公司有否為其他道口證券有限公司的保證金帳戶提供擔保？
   Is your company a guarantor of any other DaoKou Securities margin account?

□ 是，請注明 Yes, please specify _____

□ 否 No

6. 是否有任何人士/公司為貴公司的道口證券有限公司的保證金帳戶提供任何擔保？
   Is there any person/company providing any guarantee for your DaoKou Securities Limited margin account?

□ 有擔保安排，擔保人並非道口证券有限公司保證金帳戶客戶(請回答第(7)題)

Yes. Guarantor is NOT a margin client with DaoKou Securities Limited. (Please answer question (7) below)

□ 有擔保安排，擔保人為道口证券有限公司保證金帳戶客戶
Yes. Guarantor is margin client of DaoKou Securities Limited

□ 是，請注明Yes, please specify _____

□ 沒有任何擔保安排 Without any guarantee arrangement

7. 承上題（6），如貴公司保證金帳戶的擔保人並非道口證券有限公司保證金客戶，就您所知，該名擔保人有否為其他道口證券有限公司保證金帳戶提供擔保？
   Further to question (6) above, if the guarantor of your margin account with DaoKou Securities Limited is not a margin client of DaoKou Securities Limited, to your best knowledge, is that the same guarantor of other margin accounts maintained with DaoKou Securities Limited?

□ 是，請注明 Yes, please specify _____

□ 否 No

7. 客戶確認及承諾 Customer Acknowledgement and Consent

1. **關於風險披露聲明 Acknowledgement regarding Risk Disclosure Statement**

本公司邀請客戶參閱載於<<證券客戶協議書>>D部分的風險披露聲明及免責聲明和其他按照相關法律、規定或其他監管相關市場的交易所規定而提供附加聲明的確認。

- 客戶確認本公司以客戶選擇的語言（英文或中文）提供了本協議及其附表。
- 客戶進一步確認本公司已經邀請客戶閱讀風險披露聲明，提出問題及徵求獨立的意見（如客戶有此意願）。
- 客戶確認本公司已經邀請客戶閱讀列於港交所規則及由證監會發出的有關指引內的申報規定及責任。

The Company refers the Customer to the Risk Disclosure Statements & Disclaimers in Section D of <<Securities Client Agreement>> and any other additional statements provided pursuant to relevant laws, the Rules or the rules of the other exchanges governing the relevant market.

- The Customer acknowledges that the Agreement and the Schedules attached were provided in a language (English or Chinese) of the Customer's choice.
- The Customer further acknowledges that it was invited to read the Risk Disclosure Statement, ask questions and take independent advice, if the Customer wished.
- The Customer acknowledges that it was invited to read the reporting requirements and the responsibilities of reporting set out in the Rules of the SEHK and related guidance notes issued by the SFC.

我/我們已經閱讀並明白證券客戶協議書的所有條款，並接受這些條款的約束。

I/We have read and understood all the provisions of the Securities Client Agreement and agree to be bound by the same.

2. **關於提供準確資料的確認 Acknowledgement regarding accuracy of information provided**

載於本客戶資料表中的資料是真實和準確的。除非道口證券有限公司收到資料更改的書面通知，否則道口證券有限公司有權為任何目的信賴這些資料。道口證券有限公司獲授權隨時聯絡任何人，包括客戶的銀行、經紀或任何信用調查機構，藉以核實本客戶資料表中所提供的資料。道口證券有限公司的個人資料收集聲明列於附表1中。

The information contained in this Customer Information Form is true and accurate. Daokou Securities Limited is entitled to rely fully on such information for all purposes, unless Daokou Securities Limited receives notice in writing of any change. Daokou Securities Limited is authorised at any time to contact anyone, including Customer's banks, brokers or any credit agency, for the purpose of verifying the information provided on this Customer Information Form. A copy of Daokou Securities Limited's Personal Information Collection Statement is attached as Schedule 1.

只適用於保証金賬戶客戶 For Margin Account customer only

3. 我/我們現申請道口證券有限公司之保証金證券融資服務，並同意接受道口證券有限公司的保証金客戶協議書條款，證券客戶協議書條款，及客戶常設授權及其不時的修改所約束。我/我們確認本公司已閱讀有關條款 (尤其是適用於保證金賬戶的風險披露聲明)。

I/We hereby apply for the Margin Financing Service of DaoKou Securities Limited and agree to be bound by the Terms and Conditions for Margin Client Agreement, the Terms and Conditions for Securities Client Agreement, the Standing Authority (Client Securities and Client Money) as amended and/or supplemented from time to time. I/We confirm that our Company has read and understood the said Terms and Conditions (in particular the Risk Disclosure Statements Applicable for Margin Accounts).

我/我們謹此承諾及確認我/我們已授權道口證券有限公司根據《保證金客戶協議書》第9條規定處理我/我們的證券及證券抵押品。授權有效期為由我/我們簽署《客戶證券常設授權 (客戶證券及客戶款項)》日期起計不超過12個月。在有效期屆滿之前沒有被撤銷的此項常設授權，將根據《證券及期貨條例》下的有關規定按該授權指明的相同條款及細則作十二(12)個月的續期或視作已續期。

I/We acknowledge and confirm that I/we have authorized DaoKou Securities Limited to deal with my/our securities and securities collateral in accordance with Clause 9 of the Margin Client Agreement. This authority is given for a period of not more than twelve months from the date on which I/we sign the Standing Authorization (Client Securities and Client Money). Such standing authorization which is not revoked prior to its expiry may be renewed or shall be deemed to have been renewed for further 12 months upon the same terms and conditions as specified above in accordance with the relevant rules under the SFO.

8. 客戶簽署 Execution by Customer

董事代表公司簽署並蓋印
Signature of director on behalf of the Company with Company chop

X _____

董事姓名：
Print name of the Director :
日期: Date:

客戶確認 Acknowledgement by Customer

我/我們同意道口證券或聯絡人士以各種方式提供投資或有關財務產品及服務的資料，其包括研究報告及特別優惠等。
I/We consent to Daokou Securities or its Associates providing information about investment or financial related products and services including research reports and special offers by any means.

□ 是 Yes □ 否 No

職員/經紀聲明 Declaration by Staff /Account Executive		
我確認已經以客戶選擇的語言（英文或中文）提供了載於<<證券客戶協議書>>D部分內的風險披露聲明，並且我已經邀請客戶閱讀風險披露聲明，提出有關問題及尋求獨立意見（如客戶有此意願）。 I confirm that I have provided the Risk Disclosure Statements as set out in Section D of the Securities Client Agreement in a language (English or Chinese) of the Customer's choice. I have also invited the Customer to read the Risk Disclosure Statements, ask questions and independent advice if the customer wishes.		
持第1類（證券買賣）Licensed for Type 1 （Licensed Corporation）		*日期* Date：_____
職員/經紀簽署 Signature of Staff /AE	職員/經紀姓名 Name of Staff/AE	CE 編號 CE No.

9. 見證客戶簽署（如適用）WITNESSING OF CLIENT SIGNATURE (if applicable)

客戶須在本公司之持牌代表面前簽立本開戶申請表，或將本開戶申請表連同恰當的支票+一併交回本公司，否則本開戶文件的簽立及有關的身份證明文件的見證，應由適合的證明人包括公證人、律師、會計師、特許秘書、持牌銀行分行經理、太平紳士、發出身份核實文件的國家的大使館、領事館或高級專員公署的人員或其他證監會持牌人加以驗證。

If this Account Opening Application is not executed in the presence of the Company's licensed representative or is not submitted with an appropriate cheque+, then signing of this Account Opening Application and sighting of related identity documents should be certified by a Notary Public, Lawyer, Certified Public Accountant, a Chartered Secretary, a Branch Manager of a Bank, a JP (Justice of the Peace), an officer of an embassy, consulate of high commission of the country of the issue of documentary verification of identity or any other SFC licensed person.

本人謹此見證上述客戶簽立此開戶申請表（構成本協議之重要文件）及其有關身份證明文件之正本：

I, the undersigned hereby certify that I have witnessed the signing of the Account Opening Application (forming an integral part of the Agreement) by the Client and have seen the original of the relevant identity documents of the Client：

見證人簽署 Signature of Witness		
姓名 Name:	_____	_____
所屬專業及職銜 Profession/Title:	_____	_____
日期 Date:	_____	_____

+ 數額不少於港幣一萬元的劃線支票。支票須有客戶本人簽發予「道口證券有限公司」，而支票簽發人姓名及簽名模式須與本開戶申請表上之客戶名稱及簽署相符。新客戶帳戶須在支票兌現後方可使用。

+ A crossed cheque of not less than HKD10,000 in favour of "Daokou Securities Limited" bearing Client's name and signature as shown in this Account Opening Application and drawn on a licensed bank in Hong Kong. The new account cannot be activated for use until the cheque has been cleared.

10. 注意事項 Notes

1 客戶必須填妥及簽署本表格所附之帳戶運作一般條款，即客戶合約。
The attached general terms and conditions to the operation, that is the Client Agreement, of this account must be completed and signed by the Customer.

2 任何刪除或修改必須有客戶之授權人簽署作實。
Any deletion or amendment must be initialed by the Authorised Person(s) of the Customer.

3 客戶只可選擇註冊、辦公室地址作為郵寄地址，所有帳戶結單及其他印刷品將寄註冊地址。郵政信箱恕不接受。請附上在最近三個月內之地址證明文件。
Customer can only choose either registered address, office address as their statements will be sent to this correspondence address. And other printing matters will be sent to their Registered address. P.O. Boxes are not accepted. Please enclose the address proof dated within the latest three months.

4 不能以第三者支票交收。
Third-party cheques cannot be used to settle any trading transaction.

只供本行使用 For Official Use Only

文件查核列表 Document check list

1. 已核實的身份證或護照副本 Certified I.D Card/Passport Copy
   ☐ 是 Yes
2. 地址證明副本 Address proof
   ☐ 是 Yes
3. 銀行及信貸參考（如適用）Bank and Credit Reference（if applicable）（例如：銀行結單、屋契等）（e.g. bank statement, title deeds etc.）
   ☐ 是 Yes ☐ 不適合 N/A
4. 僱主書面同意（如適用）Employer consent letter（if applicable）
   ☐ 是 Yes ☐ 不適合 N/A
5. 是否PEP
   ☐ 是 Yes ☐ 不適合 N/A
6. 已核实W-8 或 W-9表格 Certified W-8 or W-9 form
   ☐ 是 Yes ☐ 否 No ☐ 不適合 N/A

適用於非面對面帳戶開立程序 Applicable to non face-to-face account opening procedure：

收取個人支票（如適用）Personal cheque received（if applicable）

- ☐ issued from a licensed bank in HK 由香港持牌銀行簽發
- ☐ payable to Daokou Securities Limited 抬頭為「道口證券有限公司」
- ☐ cheque amount not less than HK$10,000支票金額不少於港幣10,000元
- ☐ client name shown on cheque支票上顯示客戶姓名
- ☐ same signature on cheque and A/C Opening Form支票簽名與帳戶開立表格簽名一致
- ☐ cheque copy retained 保留支票副本
- ☐ cheque cleared 支票已兌現

港元佣金（%） HKD brokerage（%）	港元最低佣金 HKD Minimum Commission
人民幣佣金（%） CNY brokerage（%）	人民幣最低佣金 CNY Minimum Commission
美元佣金（%） USD brokerage（%）	美元最低佣金 USD Minimum Commission

風險級別 AML Risk Category

AML 風險級別 （參考AML政策） AML risk category (refer to AML policy)	☐ 低 Low ☐ 中 Medium ☐ 高 High

信貸額 Credit Limit

每日交易限額：
Daily Trading Limit :

合規部開戶批核 Account opening approved by Compliance Department

合規部職員姓名： Name of compliance staff	職員簽署： Signature of Staff	職位： Position

備註：
Remarks：

系统输入 BOS System Input

Input by:	Checked by :	email add. verified:	Authorised by:	Date:

道口證券
DAOKOU SECURITIES
證監會中央編號 SFC CE NO. ABS418

帳戶號碼 Account No：_____

附註 Remarks：_____

自我證明表格 － 公司或實體 (CRS-Entity)
Self-Certification Form – Company or Entity (CRS-Entity)

第 1 部：公司或實體賬戶持有人資料 Part 1: Identification of Company or Entity Account Holder

如您對判定您的稅務居民身分有任何疑問，請瀏覽經合組織網站 www.oecd.org/tax/automatic-exchange/ 或於填寫本表格前諮詢您的稅務顧問。

If you have any questions on how to define your tax residency status, please visit the OECD website at www.oecd.org/tax/automatic-exchange/ or consult your tax advisor before completing the form.

公司或實體的法定名稱 Legal Name of Company or Entity	
公司或實體成立或註冊的稅務管轄區 Jurisdiction of Incorporation or Registration	
公司註冊或商業登記號碼 Certificate of Incorporation or Business Registration Number	
現時營業地址及通訊地址 Current Business Address and Mailing Address	地址 Address
	城市及國家 City & Country

第 2 部：公司或實體類別 Part 2: Company or Entity Type

在其中一個適當的方格內加上剔號，並提供有關資料。 Tick one of the appropriate boxes and provide the relevant information.

財務實體 Financial Entity (金融／財務機構) (Financial Institution)	☐託管機構、存款機構或指明保險公司 Custodial Institution, Depository Institution or Specified Insurance Company ☐投資實體，但不包括由另一金融／財務機構管理(*例如： 擁有酌情權管理投資實體的資產*) 並位於非參與稅務管轄區的投資實體 Investment Entity, except an investment entity that is managed by another financial institution *(e.g. with discretion to manage the entity's assets) and located in a non-participating jurisdiction*
主動非財務實體 Active NFE	☐該非財務實體的股票經常在 _____(一個具規模證券市場）進行買賣 NFE the stock of which is regularly traded on_____, which is an established securities market ☐_____的有關連實體，該有關連 實體的股票經常在_____(一個具規模證券市場）進行買賣 Related entity of _____, the stock of which is regularly traded on _____, which is an established securities market ☐政府實體、國際組織、中央銀行或由前述的實體全權擁有的其他實體 NFE is a governmental entity, an international organization, a central bank, or an entity wholly owned by one or more of the foregoing entities ☐除上述以外的主動非財務實體 （請說明 _____ ） Active NFE other than the above (Please specify _____)
被動非財務實體 Passive NFE	☐不屬「主動非財務實體」的非財務實體 NFE that is not an Active NFE ☐位於非參與稅務管轄區並由另一金融／財務機構管理的投資實體 Investment entity that is managed by another financial institution and located in a non-participating jurisdiction

道口證券
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證監會中央編號 SFC CE NO. ABS418

帳戶號碼 Account No：_____

附註 Remarks：_____

第 3 部：控權人 *（如公司或實體賬戶持有人是被動非財務實體，填寫此部）*

Part 3: Controlling Persons *(Complete this part if the company or entity account holder is a passive NFE)*

就帳戶持有人，填寫所有控權人的姓名在列表內。就法人實體，如行使控制權的並非自然人，控權人會是該法人實體的高級管理人員。每名控權人須分別填寫一份自我證明表格 – 控權人(CRS-CP)。

Indicate the name of all controlling person(s) of the account holder in the table below. If no natural person exercises control over a company or entity which is a legal person, the controlling person will be the individual holding the position of senior managing official. Complete Self-Certification Form - Controlling Person (CRS-CP) for each controlling person.

(1)	(3)
(2)	(4)

第 4 部:稅務編號 Part 4: Taxpayer Identification Number ("TIN")

此欄必須填寫 ***This column must be completed*** **居留司法管轄區 Jurisdiction of Residence** (請填寫報稅地區或實際管理機構所在的稅務管轄區。) (Please indicate your residence for tax purpose or the jurisdiction in which your place of effective management is situated.)	**稅務編號 TIN** ***請閣下必須填寫稅務編號，如沒有稅務編號，請於右列理由A,B,C中選填一項*** ***Please fill in TIN, if you do not have one, please choose ONE among the three reasons on the right*** (如客戶為香港或國內稅務居民，稅務編號為其香港商業登記號碼或其營業執照號碼) (If you are a tax resident of Hong Kong or Mainland China , the TIN is the Hong Kong Business Registration Number or the Business License Number respectively)	**理由 A Reason A** (當地政府根本沒有稅務編號 (The Government does not issue TINs to its residents)	**理由 B Reason B** (本人不能取得稅務編號，理由如下) (Unable to obtain a TIN, please explain below)	**理由 C Reason C** (本人獲當地政府豁免披露稅務編號) (The government does not require the TIN to be disclosed)
(1)				
(2)				

第 5 部: 聲明及簽署 Part 5: Declarations and Signature

本人知悉及同意，貴公司可根據《稅務條例》（第 112 章）有關交換財務帳戶資料的法律條文，（a）收集本表格所載資料並可備存作自動交換財務帳戶資料用途；及（b）把該等資料和關於帳戶持有人及任何須申報帳戶的資料向香港特別行政區政府稅務局申報，從而把資料轉交到帳戶持有人的居留司法管轄區的稅務當局。

I acknowledge and agree that (a) the information contained in this form is collected and may be kept by the Company for the purpose of automatic exchange of financial account information, and (b) such information and information regarding the account holder and any reportable account(s) may be reported by the Company to the Inland Revenue Department of the Government of the Hong Kong Special Administrative Region and exchanged with the tax authorities of another jurisdiction or jurisdictions in which the account holder may be resident for tax purposes, pursuant to the legal provisions for exchange of financial account information provided under the Inland Revenue Ordinance (Cap.112).

本人證明，就與本表格所有相關的帳戶，本人獲帳戶持有人授權簽署本表格。本人聲明就本人所知所信，本表格內所填報的所有資料和聲明均屬真實、正確和完備。

I certify that I am authorized to sign for the account holder of all the account(s) to which this form relates. I further declare that the information given and statements made in this form are, to the best of my knowledge and belief, true, correct and complete.

本人承諾，如情況有所改變，以致影響本表格所載的資料不正確，本人會在情況發生改變後 30 日內，向貴公司提交一份已適當更新的自我證明表格。

I undertake to provide the Company with a suitably updated self-certification form within 30 days of any change in circumstances which affects the information contained herein to become incorrect.

帳戶持有人簽署及印鑑 Account Holder's Signature and Chop X	日期*(日/月/年)* Date *(dd/mm/yyyy)*
獲授權人士姓名 Name of Authorized Person	獲授權人士身分 Capacity of Authorized Person _____ *(說明您簽署這份表格的身份。例如: 公司的董事或高級人員、合夥的合夥人、信託的信託人或獲受權人員等。 Indicate the capacity in which you are signing the form e.g. director or officer of a company, partner of a partnership, trustee of a trust, authorized officer, etc.)*

警告: 根據《稅務條例》第 80(2E)條，如任何人在作出自我證明時，在明知一項陳述在要項上屬具誤導性、虛假或不正確，或罔顧一項陳述是否在要項上屬具誤導性、虛假或不正確下，作出該項陳述，即屬犯罪。一經定罪，可處第 3 級（即$10,000）罰款。

WARNING: It is an offence under section 80(2E) of the Inland Revenue Ordinance if any person, in making a self-certification, makes a statement that is misleading, false or incorrect in a material particular AND knows, or is reckless as to whether, the statement is misleading, false or incorrect in a material particular. A person who commits the offence is liable on conviction to a fine at level 3 (i.e. $10,000).

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帳戶號碼 Account No：_____

附註 Remarks：_____

自我證明表格 - 控權人(CRS-CP)
Self-Certification Form – Controlling Person (CRS-CP)

第 1 部：控權人的身分識辨資料 Part 1: Identification of Controlling Person

如有關賬戶是由被動非財務實體（例如某些信託或投資工具）持有，所有控制該公司或實體的人士(即「控權人」）均須填寫本表格。如您對判定您的稅務居民身分有任何疑問，請瀏覽經合組織網站 www.oecd.org/tax/automatic-exchange/ 或於填寫本表格前諮詢您的稅務顧問。

When an account is held on behalf of a Passive NFE (for example certain trusts or investment vehicles), all individuals who exercise control of the company or entity ("Controlling Person(s)") need to complete this form. If you have any questions on how to define your tax residency status, please visit the OECD website at www.oecd.org/tax/automatic-exchange/ or consult your tax advisor before completing the form.

控權人的姓名 Name of Controlling Person	姓名 Name
身分證或護照號碼 Identity Card or Passport Number	
現時住址及通訊住址 Current Residence Address and Mailing Address	地址 Address
	城市及國家 City & Country
出生日期 Date of Birth	*(日/月/年 dd/mm/yyyy)*

第 2 部：您作為控權人的公司或實體賬戶持有人

Part 2: The Company or Entity Account Holder(s) of which you are a controlling person

請填寫控權人的公司或實體賬戶持有人的名稱：

Enter the name of the company or entity account holder of which you are a controlling person:

公司/實體 **Company/Entity**	**公司或實體賬戶持有人的名稱** **Name of the Company or Entity Account Holder**
(1)	
(2)	
(3)	

第 3 部:稅務編號 Part 3: Taxpayer Identification Number ("TIN")

此欄必須填寫 ***This column must be completed*** **居留司法管轄區** **Jurisdiction of Residence** (即報稅地區) (Residence for tax purpose)	**稅務編號** **TIN** ***請閣下必須填寫稅務編號，如沒有稅務編號，請於右列理由A,B,C中選填一項*** ***Please fill in TIN, if you do not have one, please choose ONE among the three reasons on the right*** (如客戶為香港或國內稅務居民，稅務編號為其身份證號碼 If you are a tax resident of Hong Kong or Mainland China, the TIN is the Identity Card Number)	**理由 A** **Reason A** (當地政府根本沒有稅務編號 (The Government does not issue TINs to its residents)	**理由 B** **Reason B** (本人不能取得稅務編號，理由如下) (Unable to obtain a TIN, please explain below)	**理由 C** **Reason C** (本人獲當地政府豁免披露稅務編號) (The government does not require the TIN to be disclosed)
(1)				
(2)				

道口證券
DAOKOU SECURITIES
證監會中央編號 SFC CE NO. ABS418

帳戶號碼 Account No：_____

附註 Remarks：_____

第 4 部：控權人類別 Part 4: Type of Controlling Person

就第 2 部所載的每個公司或實體，請在以下三個類別中選擇適當的類別，並在該類別的右方方格內加上剔號，指出控權人就每個公司或實體所屬的類別：

Tick the appropriate box on the right to indicate the type of controlling person for each company or entity stated in Part 2 according to its company or entity type:

公司/實體類別 Type of Company/ Entity	控權人類別 Type of Controlling Person	公司/實體 (1) Company/ Entity (1)	公司/實體 (2) Company/ Entity (2)	公司/實體 (3) Company/ Entity (3)
法人 Legal Person	擁有控制股權的個人（即擁有不少於百分之二十五的已發行股本） Individual who has a controlling ownership interest (i.e. not less than 25% of issued share capital)	☐	☐	☐
	以其他途徑行使控制權或有權行使控制權的個人（ 即擁有不少於百分之二十五的表決權） Individual who exercises control/is entitled to exercise control through other means (i.e. not less than 25% of voting rights)	☐	☐	☐
	擔任該公司或實體的高級管理人員／ 對該公司或實體的管理行使最終控制權的個人 Individual who holds the position of senior managing official/ exercises ultimate control over the management of the company or entity	☐	☐	☐
信託 Trust	財產授予人 Settlor	☐	☐	☐
	受託人 Trustee	☐	☐	☐
	保護人 Protector	☐	☐	☐
	受益人或某類別受益人的成員 Beneficiary or member of the class of beneficiaries	☐	☐	☐
	其他（例如：如財產授予人／ 受託人／保護人／受益人為另一公司或實體，對該公司或實體行使控制權的個人） Other (e.g. individual who exercises control over another company or entity being the settlor/trustee/protector/beneficiary)	☐	☐	☐
除信託以外的法律安排 Legal Arrangement other than Trust	處於相等／相類於財產授予人位置的個人 Individual in a position equivalent/similar to settler	☐	☐	☐
	處於相等／相類於受託人位置的個人 Individual in a position equivalent/similar to trustee	☐	☐	☐
	處於相等／相類於保護人位置的個人 Individual in a position equivalent/similar to protector	☐	☐	☐
	處於相等／相類於受益人或某類別受益人的成員位置的個人 Individual in a position equivalent/similar to beneficiary or member of the class of beneficiaries	☐	☐	☐
	其他（例如：如處於相等／相類於財產授予人／受託 人／保護人／受益人位置的人為另一公司或實體，對該公司或實體行使控制權的個人） Other (e.g. individual who exercises control over another company or entity being equivalent/similar to settlor/trustee/protector/beneficiary)	☐	☐	☐

第 5 部: 聲明及簽署 Part 5: Declarations and Signature

本人知悉及同意，貴公司可根據《稅務條例》（第 112 章）有關交換財務帳戶資料的法律條文，（a）收集本表格所載資料並可備存作自動交換財務帳戶資料用途；及（b）把該等資料和關於帳戶持有人及任何須申報帳戶的資料向香港特別行政區政府稅務局申報，從而把資料轉交到帳戶持有人的居留司法管轄區的稅務當局。

I acknowledge and agree that (a) the information contained in this form is collected and may be kept by the Company for the purpose of automatic exchange of financial account information, and (b) such information and information regarding the account holder and any reportable account(s) may be reported by the Company to the Inland Revenue Department of the Government of the Hong Kong Special Administrative Region and exchanged with the tax authorities of another jurisdiction or jurisdictions in which the account holder may be resident for tax purposes, pursuant to the legal provisions for exchange of financial account information provided under the Inland Revenue Ordinance (Cap.112).

本人證明，就與本表格所有相關的公司或實體帳戶持有人所持有的帳戶，本人是控權人簽署本表格。本人聲明就本人所知所信，本表格內所填報的所有資料和聲明均屬真實、正確和完備。

I certify that I am the controlling person to sign for the controlling person of all the account(s) held by the company or entity account holder(s) to which this form relates. I further declare that the information given and statements made in this form are, to the best of my knowledge and belief, true, correct and complete.

本人承諾，如情況有所改變，以致影響本表格所載的資料不正確，本人會在情況發生改變後 30 日內，向貴公司提交一份已適當更新的自我證明表格。

I undertake to provide the Company with a suitably updated self-certification form within 30 days of any change in circumstances which affects the information contained herein to become incorrect.

控權人簽署 Controlling Person's Signature **X**	日期*(日/月/年)* Date *(dd/mm/yyyy)*

警告: 根據《稅務條例》第 80(2E)條，如任何人在作出自我證明時，在明知一項陳述在要項上屬具誤導性、虛假或不正確，或罔顧一項陳述是否在要項上屬具誤導性、虛假或不正確下，作出該項陳述，即屬犯罪。一經定罪，可處第 3 級（即$10,000）罰款。

WARNING: It is an offence under section 80(2E) of the Inland Revenue Ordinance if any person, in making a self-certification, makes a statement that is misleading, false or incorrect in a material particular AND knows, or is reckless as to whether, the statement is misleading, false or incorrect in a material particular. A person who commits the offence is liable on conviction to a fine at level 3 (i.e. $10,000).

董事決議

致：道口證券有限公司
香港灣仔港灣道23 號
鷹君中心 14 樓 1406 室

茲決議如下：

僅此批准和授權本公司_____在道口證券有限公司成立之繼承人和承讓人(下稱「經紀」)開立並維持一個或多個證券戶(下稱「本帳戶」)，以便在經紀為會員或以其他身份參與之交易所買賣證券，以及：

A) 本帳戶開立文件

特此授權下列任何___位授權人士代表吾等簽署開立戶口申請表和/或網上交易之確認(如有)和/或保證金融資之確認(如有)和簽署、加蓋印章及/或交付在經紀開立和維持本帳戶所需的任何其他文件：

	姓名	身份證/護照號碼	聯絡電話	簽字樣本
(a)	先生/女士			
(b)	先生/女士			
(c)	先生/女士			

B) 交易指示

特此授權下列任何___位授權人士為有關本帳戶的任何證券買賣發出口頭或書面指示：

	姓名	身份證/護照號碼	聯絡電話	簽字樣本
(a)	先生/女士			
(b)	先生/女士			
(c)	先生/女士			

C) 交收指示

特此授權下列任何___位授權人士發出書面指示，以提取或轉移本帳戶中的任何款項或證券：

	姓名	身份證/護照號碼	聯絡電話	簽字樣本
(a)	先生/女士			
(b)	先生/女士			
(c)	先生/女士			

D) 若客戶為集合投資計劃、全權委託賬戶及/或全權委託信託，請填寫下列有關資料

(i) 有關計劃、賬戶及/或信託之名稱: _____

(ii) 進行交易發出指示的最終負責人士的身份(若與賬戶最終權益擁有人相同,則不用填寫本欄):

_____

(iii) 負責投資決定的投資經理:

名稱 ： _____ 註冊地址 ： _____

公司註冊號碼及註冊地 ： _____

聯絡人 ： _____ 聯絡電話 ： _____

本決議將完全有效， 直至經紀收到撤銷本決議的書面通知為止。
注：所有未填寫部分均須劃掉。

本人，_____之董事/秘書特此證明，上文是上述公司於 20_____年_____月_____日正式通知並於公司辦事處舉行之董事會會議一致投票通過採納之決議之完整、真實和準確副本。該董事會會議出席人數達到法定和投票人數，決議已收載於上述會議的會議記錄，並未被取消或修訂，目前仍然完全有效。本人證明，上述公司是正式設立或成立和有效存續之公司，擁有上述決議要求其採取之行動之權力。

董事/秘書: _____ 日期: _____

BOARD RESOLUTION

TO: DAOKOU SECURITIES LIMITED
Suite 1406, 14/F, Great Eagle Centre,
23 Harbour Road, Wan Chai,
Hong Kong

IT WAS RESOLVED:

That this corporation _____
be, and is Authorized and empowered to open and maintain one or more securities account(s) with Daokou Securities Limited assignee (the "brokers") for the purchase and sale of securities on exchanges of which the brokers are members or otherwise (the "Account") and that:

A) Account Opening Documentation

any _____ of the Authorized Person(s) named below be Authorized to sign the Account Opening Form and/or Acknowledgement of Internet Trading(if any) and /or Acknowledgement of Margin Facility(if any) and sign, seal and/or deliver on our behalf any other documentation required in connection with the opening and maintaining of the Account with the brokers:-

	Name	ID/Passport No.	Contact Telephone No.	Specimen Signature
(a)	Mr/Ms			
(b)	Mr/Ms			
(c)	Mr/Ms			

B) Trading Instructions

any _____ of the Authorized Person(s) named below be Authorized to give oral or written instructions in relation to the purchase or sale of any securities for the Account:-

	Name	ID/Passport No.	Contact Telephone No.	Specimen Signature
(a)	Mr/Ms			
(b)	Mr/Ms			
(c)	Mr/Ms			

C) Settlement Instructions

any _____ of the Authorized Person(s) named below be Authorized to give written instruction to withdraw or transfer any monies or securities in the Account:

	Name	ID/Passport No.	Contact Telephone No.	Specimen Signature
(a)	Mr/Ms			
(b)	Mr/Ms			
(c)	Mr/Ms			

D) If the client is a collective investment scheme, discretionary account and/or discretionary trust, please fill in the information below:

(i) The name of the scheme, account and or trust: _____

(ii) Identity of the person(s) ultimately responsible for giving instructions in relation to transactions to be conducted through the Account (Not applicable if it is the same as the ultimate owner(s)): _____

(iii) the investment manager who is responsible for the investment decision:

Name : _____ Registered office address : _____

Business Registration Number./Certificate of Certificate of Incorporation Number and Place of Incorporation:

_____

Contact Person : _____ Contact Number : _____

That this resolution shall be and remain in full force and effect until written notice of the revocation of this resolution is received by the brokers.
N.B. All unused spaces must be ruled off.

I, _____Director / Secretary of _____hereby certify that the foregoing is a full, true and correct copy of a resolution duly passed and adopted by the unanimous vote of the Board of Directors of the said corporation at a meeting duly called and held at the office of the said corporation on the_____ day of_____, 20_____, in which meeting a quorum of directors were present and voting; that said resolution appears in the minutes of the said meeting, and that the same has not been rescinded or modified and is now in full force and effect.
I further certify that the said corporation is duly incorporated or organized and validly existing, and has the power to take the action called for by the foregoing resolution.

Director/Secretary: _____ Date: _____

道口證券
DAOKOU SECURITIES

香港灣仔港灣道23號鷹君中心14樓1406室
Suite 1406, 14/F Great Eagle Centre,
23 Harbour Road, Wan Chai, Hong Kong
電話 Tel: (852) 2208 7820　傳真 Fax: (852) 2521 7700

《现金证券户口 – 提早交收授权》

致：道口证券有限公司（下简称“道口证券”）

本人 _____ 证券帐户号码 _____ 谨此授权道口证券，就本人於每个交易日所完成之交易，即日向结算所（包括但不限於香港中央结算有限公司）交付相应之现金（买入交易）及 / 或证券（沽出交易）。

本人亦承诺：

每笔交易前，现金证券帐户中会事先存入与交易指示相应之足够资金及/或证券，否则道口证券可拒绝该交易指示；及

每个交易日会在现金证券帐户中存有足以应付所有待交收需求之款项及/或证券，如出现差额，道口证券可有绝对酌情权因应与本人交易有关之交收需要强制执行反向交易，而无需通知本人。

X _____

客户签署

姓名：

日期：

+ 重要通告 +

客户须考虑经由传真及/或任何其他电子通讯发出指示之潜在风险。传真及/或任何其他电子通讯上之非正本签署可能会被假冒，而经由传真及/或任何其他电子通讯发出之指示可能会被传送至错误号码，亦可能永不送达予本公司，令第三者得悉而失去其保密性。通讯之来源无法被确定，及可发生传递错误。我们不能够核实电子通讯的真正来源，若第三者能进入客户之电脑系统，便可以轻易伪造指示。我们将不承担任何责任。若有该情况发生，或客户因任何该等情况或因经由传真及/或任何电子通讯发出此等指示，而引致或招致任何诉讼、法律程序、索资、损失、损害或成本，客户须自行评估及判断发出此等指示之潜在风险。因此，除非客户决定承担该等风险及满意该等授权之各个方面，否则客户不应授权本公司接受此等方式发出之指示。

道口證券
DAOKOU SECURITIES

香港灣仔港灣道23號鷹君中心14樓1406室
Suite 1406, 14/F Great Eagle Centre,
23 Harbour Road, Wan Chai, Hong Kong
電話 Tel: (852) 2208 7820　傳真 Fax: (852) 2521 7700

《Cash Account – Early Settlement Authorization》

TO：DaoKou Securities Limited (hereinafter referred to as 'DaoKou Securities')

I/We_____ (account number _____) hereby authorize Daokou Securities to transfer the corresponding cash (for purchase transactions) and/or securities (for sale transactions) to the clearing house (including but not limited to Hong Kong Central Clearing Limited) for the transactions completed by me on each trading day with the following securities.

I solemnly promise:

Before each transaction, sufficient funds and/or securities corresponding to the transaction instruction will be pre-deposited in the cash securities account; otherwise, Daokou Securities may refuse the transaction instruction; and

On each trading day, there will be sufficient funds and/or securities in the cash securities account to meet all settlement demands. In the event of a shortfall, Daokou Securities has the absolute discretion to enforce a reverse transaction to meet settlement requirements related to my trading, without notifying me.

X_____

Client's signature

Name：

Date：

+ Important Notice +

Clients must consider the potential risks of sending instructions via fax and/or any other electronic communication. Signatures on faxes and/or any other electronic communications may be forged, and instructions sent via fax and/or any other electronic communication may be transmitted to the wrong number or may not be delivered to our company at all, resulting in third parties learning of them and losing their confidentiality. The source of the communication cannot be determined, and errors in transmission may occur. We cannot verify the true source of electronic communications, and if a third party can access the client's computer system, they could easily forge instructions. We will not be liable for any responsibility. If such an event occurs, or if the client incurs any litigation, legal proceedings, claims, losses, damages, or costs due to any of these situations or due to sending instructions via fax and/or any other electronic communication, the client must assess and judge the potential risks of sending such instructions on their own. Therefore, unless the client decides to bear such risks and is satisfied with all aspects of the authorization, they should not authorize our company to accept instructions sent in this manner.

道口證券
DAOKOU SECURITIES

香港灣仔港灣道23號鷹君中心14樓1406室
Suite 1406, 14/F Great Eagle Centre,
23 Harbour Road, Wan Chai, Hong Kong
電話 Tel: (852) 2208 7820　傳真 Fax: (852) 2521 7700

弥偿保证信

适用于透过传真及／或任何其他电子通讯发出之指示

致：道口证券有限公司

帐户名称: _____　　帐户号码: _____

鉴于 贵公司同意接受本人/吾等不时经由传真或其他电子通讯声称发自本人/吾等但不包含本人/吾等签署之指示正本或任何其他通讯(下称「此等指示」)以操作上述帐户，并执行此等指示而 未有事先要求所签署之文件正本作书面确认，本人/吾等确认：

1. 本人/吾等知悉发出此等指示所涉及之风险，并已注意及承认本人/吾等明白和同意附于以下之「重要通告」。
2. 贵公司获本人/吾等特此不可撤销地和无条件下授权，以贵公司全酌情权决定执行相信是发自本人/吾等之此等示，及贵公司若真诚地执行此等指示但此等指示实则源自未被授权人士，或任何情况下，贵公司均无须负上任何责任。
3. 贵公司尤其是没有责任核实声称代表本人/吾等发出此等指示的人士之身份或此等指示上的签名之真伪。
4. 无论此等指示是否经本人/吾等授权、得悉或同意，任何根据此等指示而进行的交易或提供的服务均对本人/吾等具有法律约束力。
5. 无论此等指示是否经本人/吾等书面确认，本人/吾等承诺于任何时间弥偿 贵公司及使之获得弥偿就 贵公司可能因接受及执行此等指示而直接或间接蒙受或承担或招致之所有诉讼、法律程序、索偿、损失、损害、成本及费用。

_____

客户签署

姓名：

日期：

+ 重要通告 +

客户须考虑经由传真及/或任何其他电子通讯发出指示之潜在风险。传真及/或任何其他电子通讯上之非正本签署可能会被假冒，而经由传真及/或任何其他电子通讯发出之指示可能会被传送至错误号码，亦可能永不送达予本公司，令第三者得悉而失去其保密性。通讯之来源无法被确定，及可发生传递错误。我们不能够核实电子通讯的真正来源，若第三者能进入客户之电脑系统，便可以轻易伪造指示。我们将不承担任何责任。若有该情况发生，或客户因任何该等情况或因经由传真及/或任何电子通讯发出此等指示，而引致或招致任何诉讼、法律程序、索资、损失、损害或成本，客户须自行评估及判断发出此等指示之潜在风险。因此，除非客户决定承担该等风险及满意该等授权之各个方面，否则客户不应授权本公司接受此等方式发出之指示。

道口證券
DAOKOU SECURITIES

香港灣仔港灣道23號鷹君中心14樓1406室
Suite 1406, 14/F Great Eagle Centre,
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電話 Tel: (852) 2208 7820　傳真 Fax: (852) 2521 7700

INDEMNITY & GUARANTEE

Applicable to instructions issued via fax and/or any other electronic communications.

TO：DaoKou Securities Limited

Client Name: _____　　A/C No.: _____

In light of your company agreeing to accept instructions claimed to be sent by me/us via fax or other electronic communication that do not include an original signed instruction from me/us (hereinafter referred to as "these instructions") to operate the above account, and to execute these instructions without prior request for a signed original document as written confirmation, I/we confirm:

1. I/we acknowledge the risks involved in issuing these instructions and have noted and agreed to the 'Important Notice' attached below.
2. Your company is hereby irrevocably and unconditionally authorized by me/us to execute at your sole discretion what you believe to be instructions given by me/us, and your company shall not be liable for any such instructions that are actually from unauthorized persons, or under any circumstances, as long as you sincerely execute these instructions.
3. Your company is especially not responsible for verifying the identity of the person claiming to represent me/us who issued such instructions or the authenticity of the signatures on such instructions.
4. Regardless of whether such instructions have been authorized, acknowledged, or agreed by me/us, any transactions conducted or services provided based on such instructions shall be legally binding on me/us.
5. Regardless of whether such instructions have been confirmed by me/us in writing, I/we undertake to indemnify your company at all times and to ensure that it is compensated for any lawsuits, legal proceedings, claims, losses, damages, costs, and expenses that your company may directly or indirectly suffer, bear, or incur as a result of accepting and executing such instructions.

X _____

Client's signature

Name：

Date：

+ Important Notice +

Clients must consider the potential risks of sending instructions via fax and/or any other electronic communication. Signatures on faxes and/or any other electronic communications may be forged, and instructions sent via fax and/or any other electronic communication may be transmitted to the wrong number or may not be delivered to our company at all, resulting in third parties learning of them and losing their confidentiality. The source of the communication cannot be determined, and errors in transmission may occur. We cannot verify the true source of electronic communications, and if a third party can access the client's computer system, they could easily forge instructions. We will not be liable for any responsibility. If such an event occurs, or if the client incurs any litigation, legal proceedings, claims, losses, damages, or costs due to any of these situations or due to sending instructions via fax and/or any other electronic communication, the client must assess and judge the potential risks of sending such instructions on their own. Therefore, unless the client decides to bear such risks and is satisfied with all aspects of the authorization, they should not authorize our company to accept instructions sent in this manner.

道口證券
DAOKOU SECURITIES

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客戶證券常設授權 (客戶證券及客戶款項)

本常設授權書，根據《證券及期貨(客戶證券)規則》（香港法例第 571H條）的規定，授權本公司:

i. 根據證券借貸協議運用任何本人/我們的證券或證券抵押品，並可根據有關證券借貸安排，在適用法律及監管規定允許的範圍內，將有關證券或證券抵押品轉移、交付、存放或持有於相關借入人、借出人、代理人、託管人或其他參與有關證券借貸安排之機構；

ii. 將任何本人/我們的證券抵押品存放于認可的金融機構，作為提供給道口證券有限公司（「道口證券」）的財務通融的抵押品。為免生疑問，該等認可財務機構可包括提供融資、保證金、流動性支援或其他財務通融安排之銀行、金融機構、主經紀商或其他獲認可機構；

iii. 將任何本人/我們的證券抵押品存放於認可的結算所或其他持牌或註冊進行證券交易的中介人，作為解除和清償道口證券在交收上的責任及債務的抵押品；

iv. 將本人/我們的任何證券抵押品存於任何其他的認可結算所或任何其他獲發牌或獲註冊進行證券交易的中介人，作為解除道口證券在交收上的責任和義務和清償道口證券在交收上的法律責任的抵押品；為進行交收、結算、託管或相關市場運作，該等機構可包括位於香港或香港以外司法管轄區之認可結算所、中央證券存管機構、託管人、分託管人、主經紀商或其他獲發牌、獲註冊或獲授權從事證券交易、證券託管或證券結算業務之機構。本人確認並同意，有關證券或證券抵押品可按照相關市場慣例以代名人、受託人或其他市場慣常方式登記及持有；及/或

v. 如道口證券在進行證券交易記道口證券或發牌或註冊進行的任何其他受規管活動的過程中向本人/我們提供財務通融，即可按照上述第(i), (ii), (iii)及/或(iv)項所述運用或存放本人/我們的任何證券抵押品。

本人/我們明白道口證券可以不向本人/我們發出通知而採取上述行動。

本常設授權函件涵蓋一切由道口證券代表本人/我們購買或持有之證券。

本人/我們明白認可結算所或其他獲發牌或獲註冊進行證券交易的中介人，將因應道口證券在交收上的責任及債務而對本人的證券抵押品設置為第一固定押記。

本授權書並不涉及就道口證券借、貸或存放本人任何證券而須支付或收取的任何代價。任何代價均須由本人/我們與道口證券另行簽約訂明。道口證券須向本人/我們就交還有關根據本常設授權而作出借入、貸款或存放之證券負責。

本人/我們明白，道口證券可能會獲得客戶無權获得的財務及其他利益。為免生疑義，該等利益包括因根據本常設授權對客戶證券或證券抵押品進行借入、借出、存放、質押、轉質押或回購而產生的利息、收益、費用及回佣。

本人/我們明白本人/我們的證券可能受制于第三者之權利，道口證券必須於抵償該等權利後，方將本人/我們的證券交還本人/我們。

根據《證券及期貨（客戶款項）規則》（香港法例第 571I 條）設立的常設授權：

客戶藉本常設授權書授權本公司不需事先通知或取得的確認及／或指示可酌情動用款項的全部或部份作為買賣海外證券之用、符合交收或按金要求及或其他用途，包括為現有、擬進行、合理預期進行或未來可能進行之交易、投資活動、首次公開招股、配股、供股、公開發售、企業行動或其他資本市場活動且在合理範圍內而進行之資金安排、預存資金安排、預先部署資金或建立交易購買力：

i. 將任何數額之款項支付／轉往客戶於本公司的帳戶及／或任何海外經紀人及／或結算公司的證券帳戶及其繼承人及受讓人以作客戶買賣海外證券之用或符合交收、按金或對道口證券的負債的要求（如適用）；

ii. 將任何數額之款項支付／轉往位於香港或其他地方的別家證券或期貨經紀的客戶帳戶，以方便本人/我們下達買賣指令、應付交收或本人/我們經該經紀所需要或可能需要履行的財務責任。為免生疑問，該等帳戶可包括由經紀商、執行經紀、主經紀商、託管人、分託管人、結算所、中央證券存管機構、結算代理或其他金融機構維持之客戶帳戶或綜合客戶帳戶，而有關款項可於本人/我們發出具體交易指示前轉移至該等帳戶，以應付預期交易、預期交收、預期保證金要求或其他與投資活動有關之財務責任；

iii. 轉移在香港持有或收到屬於本人/我們的款項至香港以外的帳戶，該等帳戶可由經紀商、主經紀商、託管人、分託管人、結算所、中央證券存管機構、銀行或其他金融機構持有，並可為交易執行、交收、結算、保證金管理、託管安排、企業行動或其他投資活動之目的而持有有關款項；

iv. 從本公司在香港或其他地方設立之一或多個獨立帳戶及在任何海外經紀人及／或結算公司獨立帳戶或於該等帳戶之間來回調動；及／或

v. 訂立外幣兌換合約，適用於當日或之前將客戶的款項兌換至任何貨幣，以用於購買海外證券或期貨合約、符合交收或按金要求或其他與本授權有關的用途（如適用）而需要將資金轉換為其他貨幣，此等兌換合約按本公司慣例並以市場匯率訂立。訂約時間由本公司酌情決定。

本人/我們確認及同意，上述款項可存放於香港或香港以外司法管轄區之獨立客戶帳戶、綜合客戶帳戶、綜合結算帳戶或其他集合形式之客戶帳戶內，並可能與屬於其他客戶之資金共同存放、彙集、管理、交收或處理。本人/我們進一步確認及同意，存放於香港以外司法管轄區之客戶款項將

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受當地法律及監管規定規管，而有關法律及監管規定可能與香港《證券及期貨條例》及其附屬法例有所不同，因此有關款項未必享有與在香港持有之客戶款項相同程度之保障。

本人/我們確認及同意，上述授權包括道口證券於接獲本人/我們具體交易指示前，為合理預期交易、投資活動、交收、結算、保證金安排、企業行動或其他相關用途而進行合理所需之資金調撥、預存資金安排或跨境資金轉移。

本人/我們承諾就道口證券因為根據本常設授權而行事而招致或蒙受的所有成本、開支、負債、損失或損害賠償，向道口證券作出彌償。

常設授權通用條款

除非另有說明，本授權書之名詞與證券與期貨條例、《證券及期貨（客戶款項）規則》及《證券及期貨（客戶證券）規則》不時修訂之定義具有相同意思。

道口證券可不向本人/我們發出事前通知而採取上述行動。本人/我們確認本授權書不影響道口證券為解除由本人/我們或代本人/我們對道口證券、道口證券之有聯繫實體或第三者所負的法律責任，而處置或促使道口證券的有聯繫實體處置本人/我們之證券或證券抵押品的權利。

本人/我們進一步確認及同意，道口證券可根據本授權書及適用法律法規之規定，為現有、擬進行、合理預期進行或未來可能進行之交易、投資活動、交收、結算、保證金安排、企業行動或其他相關投資活動，採取本授權書項下之有關行動，包括於接獲具體交易指示前進行合理所需之資金、證券、保證金、託管或交收安排。

此賦予道口證券之授權乃鑒於道口證券同意繼續維持本人/我們之證券保證金帳戶。本人/我們明白本人/我們的證券可能受制于第三者之權利，道口證券須全數抵償該等權利後，方可將本人的證券退回本人。

除本常設授權書於到期前被撤回外，本常設授權書有效期內，本常設授權書涵蓋本公司收取或持有並存放於任何帳戶內有關外地交易的款項（包括因持有並非屬於本公司的款項的產生之任何利息）， 除非客戶與公司另有協議，否則該款項所產生的任何利息，絕對屬於公司。本授權自本函件日期起計有效十二（12）個月，道口證券於該授權有效期屆滿前不少於十四（14）日 向本人/我們發出書面通知，而本人/我們於該授權有效期屆滿前未有提出反對續期，則此授權將視為毋須本人/我們書面同意而接續地續期十二（12）個月。

本人/我們明白，本人/我們可給予道口證券至少七（7）個交易日的預先書面通知，撤銷此授權。 但是，道口證券有絕對酌情決定權將撤銷授權通知書視為於道口證券收訖時隨即生效。

本人/我們確認及同意，道口證券在香港以外地方收取或持有的客戶資產，是受到有關海外司法管轄區的適用法律及規例所監管的。這些法律及規例與《證券及期貨條例》（第571 條）及根據該條例制訂的規則可能有所不同。因此，有關客戶資產有可能不會享有賦予在香港收取或持有的客戶資產的相同保障。

本人/我們進一步確認及同意，客戶資產（包括客戶款項、證券及證券抵押品）可能存放於香港或香港以外司法管轄區之獨立帳戶、綜合客戶帳戶、綜合客戶證券帳戶、綜合結算帳戶、綜合保證金帳戶、託管帳戶或其他集合形式之帳戶，並可能與屬於其他客戶之資產共同持有、存放、管理、交收或處理。

本人/我們進一步明白，如有關經紀商、主經紀商、託管人、分託管人、結算所、中央證券存管機構、銀行或其他金融機構無力償債、進入清盤程式或發生類似事件，本人取回有關客戶資產之時間、方式及金額可能受到影響。

道口證券已將本常設授權全部向本人/我們解釋清楚，我/我們明白並接受本函件的內容。

簽署: ✕ _____　　簽署日期: _____

帳戶號碼: _____　　姓名: _____

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Standing Authority (Client Securities and Client Money)

Pursuant to the Securities and Futures (Client Securities) Rules (Hong Kong Legislation Chapter 571H), this letter authorizes you to:

i. apply any of my/our securities or securities collateral pursuant to a securities borrowing and lending agreement(s), and, subject to applicable laws and regulatory requirements, to transfer, deliver, deposit or hold such securities or securities collateral with the relevant borrower, lender, agent, custodian or other institution participating in the relevant securities borrowing and lending agreement;

ii. deposit any of my/our securities collateral with an authorized financial institution as collateral for financial accommodation provided to you. For the avoidance of doubt, such authorized financial institutions may include banks, financial institutions, prime brokers or other authorized entities providing financing, margin facilities, liquidity support, or other financial accommodation arrangements;

iii. deposit any of my/our securities collateral with a recognized clearing house or another intermediary licensed or registered for dealing in securities as collateral for the discharge and satisfaction of your settlement obligations and liabilities;

iv. deposit any of my/our securities collateral with any other recognized clearing house or any other intermediary licensed or registered for dealing in securities, as collateral for the discharge and satisfaction of your settlement obligations and liabilities; for the purposes of settlement, clearing, custody, or related market operations, such institutions may include recognized clearing houses, central securities depositories, custodians, sub-custodians, prime brokers, or other institutions licensed, registered, or authorized to engage in securities trading, custody or settlement businesses, whether located in Hong Kong or elsewhere. I/We acknowledge and agree that such securities or securities collateral may be registered and held in the name of a nominee, trustee or in accordance with the relevant market practice; and/or

v. If you provide me/us with financial accommodation in the course of dealing in securities or any other regulated activity for which it is licensed or registered, it may apply or deposit any of my/our securities collateral in the manner described in paragraphs (i), (ii), (iii), and/or (iv) above.

I/We understand that DaoKou Securities Limited ("the Company") can take any of the above actions without giving notice to me/us.

This letter of Standing Authority covers all securities purchased or held by the Company on my/our behalf.

I/We understand that the recognized clearing house or the intermediary licensed or registered for dealing in securities will have a first fixed charge over my securities collateral to the extent of your settlement obligations and liabilities.

Unless otherwise agreed in this standing authority, any consideration must be set in a separate agreement between us. You are accountable to me/us for the return of any securities borrowed, lent, or deposited under this authority. I/We understand that the Company may receive financial and other benefits to which Client is not entitled. For the avoidance of doubt, such benefits include interest, income, fees and rebates arising form the borrowing, lending, deposit, pledge, re-pledging or repurchase of client securities or securities collateral under this standing authority.

I/We understand that a third party may have rights to my securities, which the Company must satisfy before my securities can be returned to me.

This authorization established under the Securities and Futures (Client Money) Rules (Hong Kong Legislation Chapter 571I):

The Client authorizes the Company to use the Standing authority to transfer all of the money at its discretion without prior notice or confirmation and/or instructions, or part of the sale and purchase of overseas securities, compliance with settlement, margin requirements and or other purposes – including fund arrangements, pre-funding arrangements, pre-deployment of funds, or the establishment of trading purchasing power – undertaken within a reasonable scope in connection with existing, proposed, reasonably anticipated, or potential future transactions, investment activities, initial public offerings (IPOs), placings, rights issues, public offers, corporate actions:

i. To pay/transfer any sum of the Monies to the Client' s securities account held with the Company, any overseas broker(s) and/or overseas clearing firm(s) and their successors and assigns for the purpose of trading or meeting the settlement, margin or other liabilities requirement (if applicable) in respect of the Client' s overseas securities transactions;

ii. To pay or transfer any sum of the Monies to client accounts of any other securities or futures broker located in Hong Kong or elsewhere, for the purpose of facilitating the placing of orders, meeting settlement obligations, or discharging financial obligations that I/we are or may be required to fulfill through such broker. For the avoidance of doubt, such accounts may include client accounts or omnibus client accounts maintained by brokers, executing brokers, prime brokers, custodians, sub-custodians, clearing houses, central securities depositories, settlement agents or other financial institutions; and such funds may be transferred to such accounts prior to the issuance of specific trading instructions by me/us in order to meet anticipated transactions, anticipated settlement, anticipated margin requirements or other financial obligations related to investment activities;

iii. To transfer Monies held or received by me/us in Hong Kong to accounts outside Hong Kong, which accounts may be held by a broker, prime broker, custodian, sub-custodian, clearing house, central securities depository, bank or other financial institution, and the Monies may be held in such account for the purposes of trade execution, settlement, clearing, margin management, custody arrangements, corporate actions or other investment activities;

iv. To transfer Monies interchangeably between the segregated account(s) opened and maintained by the Company in Hong Kong and the segregated account(s) opened and maintained by the Company with any overseas broker(s) and/or overseas clearing firm(s) outside Hong Kong; and/or

v. To enter into foreign exchange contracts for the conversion of the Monies into any currency on or before the day when funds are required to be converted into other currencies, for the purpose of purchasing overseas securities or futures contracts, meeting settlement or margin requirements or other purposes relating to this authorization (if applicable). Such exchange contracts shall be entered into at market rates and in accordance with the Company' s

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normal practice, and the timing shall be at your sole discretion.

I/We acknowledge and agree that such funds may be held in segregated client accounts, omnibus client accounts, omnibus settlement accounts or other pooled client accounts whether located in Hong Kong or elsewhere, and may be commingled, pooled, managed, settled or otherwise processed together with funds belonging to other clients. I/We further acknowledge and agree that client money held in a jurisdiction outside Hong Kong shall be subject to local laws and regulatory requirements which may differ from the Securities and Futures Ordinance of Hong Kong and its subsidiary legislation; accordingly, such client money may not enjoy the same level of protection as client money held in Hong Kong.

I/We acknowledge and agree that this authority encompasses the transfer of funds, arrangements for the pre-funding arrangements, or cross-border fund transfers reasonably required by the Company—prior to the receipt of specific trading instructions from me/us—for purposes such as reasonably anticipated transactions, investment activities, settlement, clearing, margin arrangements, corporate actions or other related purposes.

I/We undertake to indemnify the Company for all costs, expenses, liabilities, losses, or damages incurred or suffered by the Company as a result of acting under this authority.

General Terms and Conditions for Standing Authority

Unless otherwise specified, terms used in this authority shall have the same meanings as defined in the Securities and Futures Ordinance, the Securities and Futures (Client Money) Rules and the Securities and Futures (Client Securities) Rules, as amended from time to time.

DaoKou Securities Limited (“DaoKou Securities”) may take the aforementioned actions without prior notice to me/us. I/We confirm that this authorization is without prejudice to the right of DaoKou Securities to dispose of, or to procure the disposal by its associated entities of my/our securities or securities collateral in order to release me/us from any liability owned by me/us or on my/our behalf to DaoKou Securities, its associated entities or any third party.

I/We further acknowledge and agree that DaoKou Securities may, in accordance with this authority and all applicable laws and regulations, take the actions contemplated herein, including making reasonably required arrangements regarding funds, securities, margin, custody or settlement prior to the receipt of specific trading instructions, in connection with existing, proposed, reasonably anticipated or potential future transactions, investment activities, settlement, clearing, margin arrangements, corporate actions or other related investment activities.

This authority granted herein to Daokou Securities is given in consideration of Daokou Securities agreeing to continue to maintain my/our securities account. I/We acknowledge that my/our securities may be subject to the rights of third parties, and that Daokou Securities must fully satisfy such rights before the securities can be returned to me/us.

Unless this standing authorization is revoked before its expiration, this standing authorization is valid during its term and covers the funds received or held by the Company relating to foreign transactions (including any interest arising from holding funds not belonging to the Company) that are kept in any account. Unless otherwise agreed between the Client and the Company, any interest accrued on such monies shall belong to the Company absolutely. This authorization shall be valid for twelve (12) months from the date of this letter. DaoKou Securities will send a written notice to me/us at least fourteen (14) days before the expiration of the authorization, and if I/we do not object to the renewal before the expiration of the authorization, this authorization will be deemed to be renewed for an additional twelve (12) months without my/our written consent.

I/We understand that I/We can provide DaoKou Securities with at least seven (7) trading days' written notice in advance to revoke this authorization. However, DaoKou Securities has the absolute discretion to treat the revocation notice as effective immediately upon receipt by DaoKou Securities.

I/We acknowledge and agree that client assets received or held by DaoKou Securities outside Hong Kong are subject to the applicable laws and regulations of the relevant overseas jurisdictions. These laws and regulations may differ from the Securities and Futures Ordinance (Cap. 571) and the rules made thereunder. Accordingly, such client assets may not enjoy the same level of protection as those afforded to client assets received or held in Hong Kong.

I/We further acknowledge and agree that client assets (including client monies, securities and securities collateral) may be held in segregated accounts, omnibus client accounts, omnibus client securities accounts, omnibus settlement accounts, omnibus margin accounts, custody accounts or other pooled accounts whether located in Hong Kong or elsewhere, and may be held, deposited, managed, settled or otherwise dealt with on a commingled basis with assets belonging to other clients.

I/We further understand that the timing, manner and amount of the recovery of client assets may be affected in the event of insolvency, liquidation proceedings or similar events involving the relevant broker, prime broker, custodian, sub-custodian, clearing house, central securities depository, bank or other financial institution.

DaoKou Securities has fully explained this permanent authorization to me/us, and I/we understand and accept the content of this letter.

A/C Holder’s Signature: ✕ _____　Signing Date.: _____

Account No.: _____　A/C Holder’s Name: _____